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Docket No. (optional) 6646-114N9

Applicant
Ronald A. Katz

Filing Date

June 13, 2001

Application No.

09/881,393

**Group Art Unit** 

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6646-114N9 Applicant Ronald A. Katz Filing Date

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6646-114N9

**Applicant** 

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FORM PTO-1449

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	Manufacturer", "TI	he Voice Response	terface Technology's Total Ent Solution For Answering Custo evenience Store" - (Articles)	ry System", "Toes mer/Sales Calls", '	Solutions - Phar Toes Solutions	maceutical - Orthopedic
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FORM PTO-1449	Docket No. (optional)		Application No.
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SUPPLEMENTAL I	Applicant		Group Art Unit
INFORMATION DISCLOSURE	Ronald A. Katz		2643
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<u> </u>	4,012,599	03/15/1977	Meyer				
	4,024,345	05/17/1977	Kochem				
	4,088,838	05/09/1978	Nakata et al.				
	4,108,361	08/22/1978	Krause				
	4,150,255	04/17/1979	Theis et al.				
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	4,187,498	02/05/1980	Creekmore				
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~/	4,223,183	09/16/1980	Peters, Jr.			
	4,232,199	11/04/1980	Boatwright et al.			
	4,260,854	04/07/1981	Kolodny et al.			
	4,270,024	05/26/1981	Theis et al.			
	4,303,804	12/01/1981	Johnson et al.			
	4,307,266	12/22/1981	Messina			
	4,317,961	03/02/1982	Johnson			
	4,320,256	03/16/1982	Freeman	***********		
	4,323,770	04/06/1982	Dieulot et al.			
	4,328,396	05/04/1982	Theis			
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	April 1976, Vol. 64,	No. 4, pp. 405-41	5 - (Article)	Communication	y voice , <u>Froce</u>	edings for the IEEE
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	Gawrys, G.W., "Ush	nering In The Era	Of ISDN", AT&T Technology, 19	86, Vol. 1, No. 1,	pp. 2-9 - (Article	e)
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Sv/	4,338,494	07/06/1982	Theis			
i i	4,339,798	07/13/1982	Hedges et al.			
	4,355,372	10/19/1982	Johnson et al.			
	4,371,752	02/01/1983	Matthews et al.			
	4,389,546	06/21/1983	Glisson et al.			
	4,393,277	07/12/1983	Besen et al.			
	4,405,829	09/20/1983	Rivest et al.			
	4,420,656	12/13/1983	Freeman			
	4,427,848	01/24/1984	Tsakanikas			
	4,439,635	03/27/1984	Theis et al.			
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	Yates, C.E., "Teler 55 - (Article)	marketing And Tec	nnology: Perfect Business Partne	rs", <u>AT&amp;T Tech</u>	<u>nology,</u> 1987, Vo	ol. 1, No. 3, pp. 48-
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Sv	4,451,700	05/29/1984	Kempner et al.			
	4,475,189	10/02/1984	Herr et al.			
	4,494,197	01/15/1985	Troy et al.			
	4,511,764	04/16/1985	Nakayama et al.			
	4,517,410	05/14/1985	Williams et al.			
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	4,539,435	09/03/1985	Eckmann			
	4,539,436	09/03/1985	Theis			
-	4,544,804	10/01/1985	Herr et al.			
	4,547,851	10/15/1985	Kurland			
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	OTHER DO	CUMENTS (Inc	cluding Author, Title, Date	e, Pertinent	Pages, Etc.)	
	"The Voicestar Series By Periphonics", Periphonics, Jan. 1986 - (Publication)  "Bank-From-Home system by Periphonics Corporation"  "Bill Payment Success Story", Periphonics Corporation  "A History of Imagination", Periphonics  "Banking Success Story", Periphonics Corporation  "DataVoice and the PDT II", Periphonics Corporation  "Banking Success Story", Periphonics Corporation  "Banking Success Story", Periphonics Corporation - (Brochures)					
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8/	4,559,415	12/17/1985	Bernard et al.			
	4,559,416	12/17/1985	Theis et al.			
	4,591,664	05/27/1986	Freeman			
	4,592,546	06/03/1986	Fascenda et al.			
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	4,603,232	07/29/1986	Kurland et al.			
	4,625,079	11/25/1986	Castro et al.			
	4,635,251	01/06/1987	Stanley et al.			
	4,645,873	02/24/1987	Chomet			
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	Page from What's n	ew in Computing,	April 1985 - (Article)			
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8~/	4,649,563	03/10/1987	Riskin			
<u> </u>	4,654,482	03/31/1987	DeAngelis			
1	4,665,502	05/12/1987	Kreisner	***********		
	4,669,730	06/02/1987	Small			
	4,677,552	06/30/1987	Sibley, Jr.			
	4,685,123	08/04/1987	Hsia et al.			
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	4,692,817	09/08/1987	Theis			
	4,696,028	09/22/1987	Morganstein et al.			
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	(Chapter from a B	., "User Input Mode Book)	and Computer-Aided Instruction	on", <u>Human Factor</u>	<u>s,</u> 1968, 10(3), p	p. 225-232 -
	Results of Lexis Search Request for "Dial Info or Dialinfo", Date of Search April 13, 1992, pp. 1-38					
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	Van Gieson, Jr. W word into one half	V.D., et al., "Machin f second", <u>Compute</u>	e-Generated Speech For Use and Automation, Nov. 1968	With Computers, a pp. 31-34 - (Article	nd the problem (	of fitting a spoken
	Patel, Jay, "Utility 101/103 - (Article)	of voice response	system depends on its flexibilit	y", Bank Systems &	k Equipment, De	ес. 1988, рр.
	Buron, R.H., "Gen	neration of a 1000-V	Vord Vocabulary for a Pulse-Exectroacoustics, March 1986, Vo	cited Vocoder Ope	erating as an Aug	dio Response Uni

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8~	4,715,061	12/22/1987	Norwich					
1	4,719,647	01/12/1988	Theis et al.					
	4,722,526	02/02/1988	Tovar et al.					
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	4,782,510	11/01/1988	Szlam					
	4,783,796	11/08/1988	Ladd					
	4,783,800	11/08/1988	Levine					
	4,788,716	11/29/1988	Zebe					
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5~	4,791,664	12/13/1988	Lutz et al.					
1	4,797,911	01/10/1989	Szlam et al.					
	4,799,156	01/17/1989	Shavit et al.					
	4,800,583	01/24/1989	Theis					
	4,815,031	03/21/1989	Furukawa					
	4,815,741	03/28/1989	Small					
	4,853,882	08/01/1989	Marshall					
	4,856,050	08/08/1989	Theis et al.					
	4,876,592	10/24/1989	Von Kohorn					
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8~	4,959,783	09/25/1990	Scott et al.			
Ť	4,961,217	10/02/1990	Akiyama			
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	4,974,252	11/27/1990	Osborne			
	4,975,945	12/04/1990	Carbullido			
	4,989,233	01/29/1991	Schakowsky et al.			
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SUPPLEMENTAL I	Applicant	Group Art Unit
INFORMATION DISCLOSURE	Ronald A. Katz	2643
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FORM PTO-1449	Docket No. (optional)	Application No.
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	3,947,972	04/06/1976	Freeman			
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	4,017,835	04/12/1977	Randolph			
	4,078,316	03/14/1978	Freeman			
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	66113/81 Pat. No. 543302	07/16/1981	Australia			
	1,022,674	12/13/1977	Canada			
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	1,059,621	07/31/1979	Canada			
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	4,145,578	03/20/1979	Orriss			
•	4,191,860	03/04/1980	Weber			
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	4,243,844	01/06/1981	Waldman			
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	4,277,649	07/07/1981	Sheinbein			
	4,290,141	09/15/1981	Anderson et al.			
	4,314,103	02/02/1982	Wilson			************
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1,225,759	08/18/1987	Canada			
	Pub. 0,249,575	12/16/1987	EPC			
	Pub. 0,295,837	12/21/1988	EPC			
	Pub. 0,342,295	11/23/1989	EPC			
1	Pub. 0,434,181	06/26/1991	EPC			
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Su			Terminal", <u>IBM Technical Disclosu</u>			

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8.	4,345,315	08/17/1982	Cadotte et al.			
	4,355,207	10/19/1982	Curtin			
	4,376,875	03/15/1983	Beirne			
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	4,532,378	07/30/1985	Nakayama et al.			
	4,566,030	01/21/1986	Nickerson et al.			
	4,570,930	02/18/1986	Matheson			
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	Pub. 52-17740	09/02/1977	Japan			Yes
	Pub. 56-152365	11/25/1981	Japan			No
	Pub. 62-239757	10/20/1987	Japan			No
	WO88/02966	04/21/1988	PCT Publication			
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INFORMATION DISCLOSURE	Ronald A. Katz	2643	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<u>چې</u>	4,555,594	11/26/85	Friedes et al.			
	4,562,342	12/31/85	Solo			
	4,567,359	01/28/86	Lockwood			
	4,582,956	04/15/86	Doughty			
	4,591,190	03/27/86	Clark			
	4,614,367	09/30/86	Breen			
	4,652,998	03/24/87	Koza et al.			
	4,663,777	05/05/87	Szeto			
	4,671,512	06/09/87	Bachman et al.			
	4,677,553	06/30/87	Roberts et al.			
	4,694,490	09/15/87	Harvey et al.			
		FOR	EIGN PATENT DOCUM	IENTS	. <u> </u>	I
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	41855/91	02/22/91	Japan			
	2 230 403 A	10/17/90	United Kingdom			
	2,009,937-2	08/21/90	Canada			
	OTHER D	OCUMENTS (Inc	luding Author, Title, D	Date, Pertinent	Pages, Etc.)	
	A.S. Yatagai, "Te	lephonic Voice Synth	esis Systems," Telecommun	ications, Aug. 1985	o, pp. 56h-l, 68	
	A.J. Waite, "Getti	ng Personal With Ne	w Technologies For Teleman	keters," DM News,	Feb. 15, 1987 a	1 50
<del>-   -</del>	"Shopping via a n	etwork is no longer ju	ust talk," Data Communicatio	ns, Aug. 1981 at 43	3	
52	"Growth-Oriented	Systems, " Restaura	ant Technology, Nation's Res	taurant News News	spaper, Jul. 1, 19	985 at 51

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FORM PTO-1449	Docket No. (optional)	Application No.
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<i>\$</i> ./	4,704,725	11/03/87	Harvey et al.			
	4,716,583	12/29/87	Groner et al.	*******		
	4,764,666	08/16/88	Bergeron			
	4,766,604	08/23/88	Axberg			
	4,774,655	09/27/88	Kollin et al.			
	4,788,718	11/29/88	McNabb et al.			
	4,796,293	01/03/89	Blinken et al.			
	4,797,910	01/10/89	Daudelin			
	4,842,278	06/27/89	Markowicz			
	4,847,890	07/11/89	Solomon et al.			
	4,882,473	11/21/89	Bergeron et al.			
	4,894,857	01/16/90	Szlam et al.			
		FORE	EIGN PATENT DOCUM	IENTS	<u> </u>	
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	9002131	08/21/90	France			
	DE 4005365 A1	08/23/90	Germany			
	WO93/05483	03/18/1993	PCT			
	OTHER DO	CUMENTS (Inc	luding Author, Title, D	Date, Pertinent	Pages, Etc.)	
	"Let your fingers de	o the tappingan	d the computer the talking," N	Modern Office Tech	., May 1984 at 8	30
	*American Softwar	e unveils systems for	or IBM mainframes," Compute	erworld, Mar. 26, 19	984 at 59	
5	"Business Units Go	et Order Entry," Con	nputerworld, July 12, 1982 at	36	<del></del>	<del></del>

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XAMINER NITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF
8	4,899,375	02/06/90	Bauer et al.			
	4,942,598	07/17/90	Davis			
	4,964,157	10/16/90	Aoshima			
	4,965,825	10/23/90	Harvey et al.			
	4,969,185	11/06/90	Dorst et al.			
	5,017,917	05/21/91	Fisher et al.			
	5,018,736	05/28/91	Pearson et al.			
	5,023,904	06/11/91	Kaplan et al.			
	5,046,183	09/03/91	Dorst et al.			
	5,083,272	01/21/92	Walker et al.			
	5,097,528	03/17/92	Gursahaney et el.			
	5,109,414	04/28/92	Harvey et al.			
5~	5,127,003	06/30/92	Doll, Jr. et al.			
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84	5,146,491	09/08/92	Silver et al.			
	5,181,238	01/19/93	Medamana et al.			
	5,233,654	08/03/93	Harvey et al.			
	5,255,183	10/19/93	Katz (Penina)			
	5,263,723	11/23/93	Pearson et al.			
	5,333,185	07/26/94	Burke et al.			
	5,335,277	08/02/94	Harvey et al.			
	5,351,276	09/27/94	Doll Jr., et al.			
5h	5,353,335	10/04/94	D'Urso et al.			
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FILING DATE: June 13, 2001 **GROUP:** 2643

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5~	3,787,632	01/1974	Male et al.			
	3,940,569	02/1976	Schonbrun			
	3,987,252	10/1976	Vicari	RECEIVE	D	
	4,068,099	01/1978	Mikkola	SFP -1-0-200	)	
	4,087,638	05/1978	Hayes et al.	Technology Center	2600	
	4,090,034	05/1978	Moylan			
	4,160,129	07/1979	Peyser et al.			
	4,192,972	03/1980	Bertoglio et al.			
	4,221,933	09/1980	Cornell et al.			
	4,255,619	03/1981	Saito			
	4,360,875	11/1982	Behnke			
	4,401,856	08/1983	Curtin et al.			
	4,428,296	01/1984	Scheuchzer et al.			
	4,445,001	04/1984	Bertoglio			
	4,517,412	05/1985	Newkirk et al.			
	4,518,824	05/1985	Mondardini			
	4,549,291	10/1985	Renoulin			
	4,585,903	04/1986	Schiller et al.			
	4,591,665	05/1986	Foster et al.			
	4,600,809	07/1986	Tatsumi et al.			
	4,611,096	09/1986	Asmuth et al.			
5~	4,625,081	11/25/86	Lotito et al.			

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ATENT & TRACE		U.S. PAT	ENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
84	4,640,991	02/1987	Matthews et al.			
	4,672,660	06/1987	Curtin			
	4,685,127	08/1987	Miller et al. RECE	VED.		
	4,747,124	05/1988	Ladd SEP 1 (			
	4,759,056	07/1988	Akiyama			
	4,761,808	08/1988	Howard	nter 2600		
	4,791,666	12/1988	Cobb et al.			
	4,797,818	01/1989	Cotter			
	4,805,207	02/1989	McNutt et al.			
	4,878,240	10/1989	Lin et al.			
	4,893,325	01/1990	Pankonen et al.			
	4,896,346	1/1990	Belfield et al.			
	4,899,373	02/1990	Lee et al.			
	4,908,852	03/1990	Hird et al.			
	4,922,519	05/1990	Daudelin			
	4,933,965	02/1991	Hird			
	4,989,234	01/1991	Schakowsky et al.			
	5,036,535	07/1991	Gechter et al.			
	5,199,062	03/1993	Von Meister et al.			
	5,222,120	06/1993	McLeod et al.			
	5,299,260	03/1994	Shaio			
Sw/	5,303,298	04/1994	Morganstein			

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₹ 	5,303,299	04/1994	Hunt et al.			
	5,354,069	10/1994	Guttman et al.			
	5,418,844	05/1995	Morrisey et al.			

		FOREIGN	PATENT DO	CUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	DE OF LASS	SUB CLASS	TRANS YES	SLATION NO
	0 249 795	12/1987	EPC	RECEIVED			no
	2 575 016	07/1986	France	SEP 1 0 2002			no
	WO 82/02132	06/1982	РСТ	Technology Center 2600		yes	

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Dial Info Articles (various articles with various dates) (R0016101-R0016188)
	Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424)
	AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596)
	"All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691)
	"New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699)
	Arbogast, James G. et al., "Home Diabetes Monitoring Through Touch-Tone Computer Data Entry and Voice Synthesizer Response," Annual Symposium on Computer Applications in Medical Care 8th Care Proceedings - Eighth Annual Symposium on Computer Applications in Medical Care, 1984 (MMI 020731)
	Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151)
	Sable, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987
	"The Stored Program Controlled Network" The Bell System Technical Journal, September 1982
	The World's Telephones, a Statistical Compilation as of January 1980, AT&T Long Lines, 1981 (Book)
۶~	Engineering and Operations in the Bell System, AT&T Bell Laboratories, 1983 (Book)

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SEP 0 3 2007	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
STEMP & THOUGH	Joel, A.E., "A History of Engineering and Science in the Bell System, Switching Technology (1925-1975)," Bell Telephone Laboratories, 1982 (Book)
	"ISDN - Proceedings of the conference held in San Francisco, November 1986," OnLine, New York: London
	Raack, G.A., et al., "Customer Control of Network Services," IEEE Communications Magazine, October 1984 (A21717089) also ISS 84, Florence Italy, May 1984
	Soderberg, J.H., "Machines at your Fingertips," Bell Laboratories Record, July 1969 (A21717175)  Gawrys, G.W. et al., "A New Protocol for Call Handling Functions for the SPC Network," Globecrom '82 Conference Record, November/December 1982
	Buss, C.M., "Tuning the Human/Machine Interface for AT&T Advanced 800 Service," IEEE, July 1985 Asmuth, R.L., et al., "Transaction Capabilities for Network Services," Globecom '85 IEEE Global Telecommunications Conference, New Orleans, December 1985
	Mahood, Gerald K., "Human Factors in TOUCH-TONE Data Systems," Bell Laboratories Record, December 1971 (A21717170)
SW	"4 ESS System Evolution," Bell System Technical Journal, August 1981
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
5-	3,553,378	01/1971	Solomon, et al.			
	3,829,628	08/1974	Tripsas	7		********
	4,565,903	01/1986	Riley			
	4,581,486	04/1986	Matthews, et al.			
	4,750,199	06/1988	Norwich			
	4,761,807	08/1988	Matthews, et al. REC	EIVED		
	4,782,519	11/1988	Patel, et al. SEP	1 <del>-0-200</del> 2		
	4,785,473	11/1988	Df-:ff	y Center 26		
	4,799,255	01/1989	Billinger, et al.			
	4,856,066	08/1989	Lemelson			
	4,932,021	06/1990	Moody			
	4,951,307	08/1990	Willard			
	4,959,855	09/1990	Daudelin			
	5,033,076	07/1991	Jones, et al.			
	5,033,088	07/1991	Shipman			
	5,054,059	10/1991	Stern, et al.			
	5,125,024	06/1992	Gokcen, et al.			
	5,148,474	06/1992	Haralambopoulos, et al.			
	5,164,981	11/1992	Mitchell, et al.		********	
	5,168,548	12/1992	Kaufman, et al.			
	5,179,585	01/1993	MacMillan, Jr., et al.			********
50	5,181,236	01/1993	LaVallee, et al.			

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5	5,186,471	02/1993	Vancraeynest			
	5,214,689	05/1993	O'Sullivan			
	5,236,199	08/1993	Thompson, Jr.			
	5,289,531	02/1994	Levine			
	5,327,554	07/1994	Palazzi, III, et al.			
	5,361,295	11/1994	Solomon, et al.			
	5,369,685	11/1994	Kero			
	5,416,830	05/1995	MacMillan, Jr., et al.			
	5,511,112	04/1996	Szlam			
	5,561,710	10/1996	Helms			
	5,623,536	04/1997	Solomon, et al.			
	5,651,048	07/1997	Leeuw			
2m	5,768,348	06/1998	Solomon, et al.			

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	Ronald A. KATZ		
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8~	1162484	04/1967	Great Britain			Yes	
	WO 89/11768	11/1989	PCT			Yes	
	WO 90/10989	09/1990	РСТ			Yes	
	WO 90/11661	10/1990	PCT			Yes	
	WO 91/15818	10/1991	PCT			Yes	
	WO 92/06548	04/1992	PCT			Yes	<u>.</u>
	WO 92/09164	05/1992	PCT			Yes	
	WO 92/15166	09/1992	PCT			Yes	
	2253542	09/1992	Great Britain			Yes	
	EP 0 382 212 B1	07/1998	EPC			Yes	
	EP 0 382 670 B1	04/1997	EPC			Yes	
	EP 0 438 860 B1	09/1996	EPC			Yes	
	0 451 693 A2	10/1991	EPC			Yes	
	0 451 695 A2	10/1991	EPC RECEIN	/ED		Yes	
1	0 453 831 A2	10/1991	EPC			Yes	
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8~	3,727,186	04/1973	Stephenson			
	4,599,493	07/1986	Ellis Cave			
	4,689,742	08/25/87	Troy et al.			
	4,710,955	12/01/87	Kauffman			
	4,769,834	09/1988	Billinger et al.			
	4,829,563	06/1989	Crockett et al.			
	4,832,341	05/23/89	Muller			
	4,835,630	05/1989	Freer			
	4,850,007	07/18/89	Marino et al.			
	4,881,261	11/1989	Oliphant et al.			
	4,916,726	04/10/90	Morley, Jr. et al.			
	4,926,462	05/15/90	Ladd et al.			
	5,000,486	03/19/91	Rua, Jr. et al.			
	5,003,595	03/1991	Collins et al.			
	5,128,984	07/07/92	Katz			
	5,351,285	09/1994	Katz			
	5,403,999	04/04/95	Entenmann et al.			
	5,415,416	05/16/95	Scagnelli et al.			
	5,475,205	12/12/95	Behm et al.	************		
	5,490,207	02/06/96	Schorr			
	5,537,143	07/16/96	Steingold et al.			
	5,599,046	02/14/97	Behm et al.			
GW	5,709,603	01/20/98	Kaye			

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		5,790,636	08/1998	Marshall					
		5,815,551	09/1998	Katz				-	
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	Ronald A. KATZ		
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S	FILING DATE:	GROUP:	
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	The Inquiry Letter Stating The Requested Rulings
	Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
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**APPLICANT:** Ronald A. KATZ

FILING DATE: June 13, 2001

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SUPPLEMENTAL VII	APPLICANT:		
OF	Ronald A. KATZ		
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#### SUPPLEMENTAL VIII

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Jordan et al.

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